IFU/B93

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Ulrich UPINEYER

Application No.: 09/914,773 Conf. No.4160

Filed: September 4, 2001

For: SHELF STACKING MACHINE

Art Unit: 3652

Examiner: T. BRAHAN

Washington, D.C.

Atty.'s Docket: UPMEYER=4

OR

OR

Date December 11, 2006

THE COMMISSIONER OF PATENTS Customer Service Window Randolph Building, Mail Stop Issue Fee 401 Dulany Street Alexandria, VA 22314

Sic

Transmitted herewith is an AMENDMENT UNDER 37 CFR 1.132 in the above-identified application.

Small Entity Status: Applicant(s) claim small entity status. See 37 C.F.R. §1.27. [XX]

[XX] No additional fee is required.

The fee has been calculated as shown below: []

|          | (Col. 1)                                  | (Col. 2) | (Col. 3)                              |                            |  |  |  |  |
|----------|---|----------|---------------------------------------|----------------------------|--|--|--|--|
|          | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |          | HIGHEST NO.<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA<br>EQUALS |  |  |  |  |
| TOTAL    | * 14                                      | MINUS    | ** 20                                 | 0                          |  |  |  |  |
| INDEP.   | * 1                                       | MINUS    | *** 3                                 |                            |  |  |  |  |
| FIRST PR | FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |          |                                       |                            |  |  |  |  |

| SMALL ENTITY |     |           |                   |  |  |  |  |
|--------------|-----|-----------|-------------------|--|--|--|--|
|              |     | RATE      | ADDITIONAL<br>FEE |  |  |  |  |
|              | х   | 25        | \$                |  |  |  |  |
|              | x   | _100      | \$                |  |  |  |  |
|              | +   | 180       | \$                |  |  |  |  |
| ADDITIO      | NAL | FEE TOTAL | \$                |  |  |  |  |

OTHER THAN SMALL ENTITY **ADDITIONAL** RATE FEE \$ 50 200 360 \$ TOTAL \$

If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

If the "Highest Number Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.

If the "Highest Number Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment of the number of claims originally filed.

Conditional Petition for Extension of Time

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[ ] It is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

|   | Small Entity Response Filed Within |              |       |                   |                             | Other Than Small Entity |     |        |             |            |    |          |
|---|------------------------------------|--------------|-------|-------------------|-----------------------------|-------------------------|-----|--------|-------------|------------|----|----------|
|   |                                    |              |       |                   |                             | Response Filed Within   |     |        |             |            |    |          |
|   | [ ]                                | First        | -     | \$ 60.00          |                             |                         | Į   | ]      | First       | -          | \$ | 120.00   |
|   | [ ]                                | Second       | -     | \$ 225.00         |                             |                         | [   | ]      | Second      | -          | \$ | 450.00   |
|   | [ ]                                | Third        | -     | \$ 510.00         |                             |                         | [   | ]      | Third       | -          | \$ | 1020.00  |
|   | [ ]                                | Fourth       | -     | \$ 795.00         |                             |                         | Į   | ]      | Fourth      | -          | \$ | 1590.00  |
|   | Month After Time Period Set        |              |       |                   | Month After Time Period Set |                         |     |        |             |            |    |          |
|   |                                    |              |       |                   |                             | extension of time on    | _   |        |             | <u> </u> . |    |          |
| J | Temina                             | al Disclaime | er te | e of \$           | <del></del> •               |                         |     |        |             |            |    |          |
| ] | Credit C                           | Card Payme   | nt F  | orm, PTO-2038, is | s attached, authoriz        | ing payment in the a    | ımo | ount o | f <u>\$</u> |            |    | <u> </u> |
| ] | A check                            | c in the amo | ount  | of \$             | is attached (che            | eck no. ),              |     |        |             |            |    |          |

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR §1.16 and all patent processing fees under 37 CFR §1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR §1.18.

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## OF THE STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: UPMEYER=4

In re Application of:

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Examiner: T. J. Brahan

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## AMENDMENT UNDER 37 CFR §1,312 AND COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Customer Window, Mail Stop Issue Fee Honorable Commissioner for Patents U.S. Patent and Trademark Office 2011 South Clark Place Crystal Plaza Two, Lobby, Room 1B03 Arlington, Virginia 22202

Sir:

Please amend the above-identified application as follows:

Amendments to the claims appear in the listing that begins on page 2 of this paper.

Remarks and comments on the statement of reasons for allowance begins on page of this paper.